July 9, 2003

Date

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 2501331-991111 Attorney Docket No. Steven M. Watkins First Inventor Novel Ways of Assessing Metabolic Processes Title EV331871368US Express Mail Label

TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) Commissioner for Patents Mail Stop Patent Application APPLICATION ELEMENTS ADDRESS TO: P. O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendix) (Submit an original, and a duplicate for fee processing) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. (if applicable, all necessary) See 37 CFR 1.27. Computer Readable Form (CRF) [Total Pages 43 Specification (preferred arrangement set forth below) Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D Paper ii. - Reference to sequence listing, a table, Statements verifying identity of above copies or a computer program listing appendix C. - Background of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 37 CFR 3.73(b) Statement **Power of Attorney** Claim(s) 10. (when there is an assignee) - Abstract of the Disclosure English Translation Document (if applicable) Copies of IDS Information Disclosure Drawing(s) (35 U.S.C. 113) ∏otal Sheets Statement (IDS)/PTO-1449 12. Citations **Preliminary Amendment** 5. Oath or Declaration (2) ITotal Pages 13. Return Receipt Postcard (MPEP 503) Newly executed (original or copy) a. 14. (Should be specifically itemized) Copy from a prior application (37 CFR 1.63(d)) Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) 5. Nonpublication Request under 35 U.S.C. 122 **DELETION OF INVENTOR(S)** (b)(2)(B)(i). Applicant must attach form PTO/SB/35 16. Signed statement attached deleting inventor(s) or its equivalent. named in the prior application, see 37 CFR Copies of Express Aband. from parent appln. & 1.63(d)(2) and 1.33(b). Notice to Withdraw filed in PCT Appln. Other: 17. | 🗸 Application Data Sheet. See 37 CFR 1.76 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: 10/383,671 ✓ Continuation Divisional Group / Art Unit: 1743 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below 29585 X Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Nan Wu Name Gray Cary Ware & Freidenrich LLP 153 Townsend Street, Suite 800 Address 94107 Zip Code State San Francisco City (415) 836-2501 Fax (415) 836-2500 Telephone USA Country Registration No. (Attorney/Agent) 43,360 Name (Print/Type) Nan Wu

This collection of information is required by \$7 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the Signature USPTO to process) an application. Confidentiality is governed by 95 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and ubmitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (5-03) Approved for use through 04/30/2003. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

SUBTOTAL (3)

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Complete if Known

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Application Number

for FY 2003			Filing Date		Herewith	Herewith	
Effective 01/01/2003. Patent fees are subject to annual revision.			First Named Inventor		ntor Steven M. Watkins	Steven M. Watkins	
Applicant claims small entity status. See 37 CFR 1.27			Examiner Name		Unknown	Unknown	
Applicant claims small entity states. See 61 67 77 71		Group Art Unit		Jnit	Unknown		
TOTAL AMOUNT OF PAYMENT (\$) \$9	54.00	Atto	rney D	ocket N	No. 2501331-991111		
	7	EFF CALCULATION (continued)					
METHOD OF PAYMENT (check all that apply)	_	FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES arge Entity Small Entity					
Deposit Account:		Fee	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account 07-1896	Code 105			65	Surcharge - late filing fee or oath		
Number	105	52 50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Deposit Account Gray Cary Ware & Freidenrich	105	53 130	1053	130	Non - English specification		
Name	- I	12 2,520	1		For filing a request for ex parte reexamination		
The Commissioner is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		920°	1		Requesting publication of SIR prior to Examiner		
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application	190	05 1,840 *	1805	1,840*	action Requesting publication of SIR after Examiner		
Charge fee(s) indicated below, except for the filing fee				•	action		
to the above-identified deposit account.		51 110	1		Extension for reply within first month		
FEE CALCULATION		52 410			Extension for reply within second month		
1. BASIC FILING FEE		53 930			Extension for reply within third month Extension for reply within fourth month		
Large Entity Small Entity		54 1,450 FF 4.070	1		Extension for reply within fifth month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	125				Notice of Appeal		
1001 750 2001 375 Utility filing fee 375.00					Filing a brief in support of an appeal		
1002 330 2002 165 Design filing	140				Request for oral hearing		
1003 520 2003 260 Plant filing fee		51 1,510	1		Petition to institute a public use proceeding		
1004 750 2004 375 Reissue filing	┙┃	52 110			5 Petition to revive - unavoidable		
1005 160 2005 80 Provisional filing fee	╡┃▗╻	53 1,300			Petition to revive - unintentional		
SUBTOTAL (1) (\$) \$375.00	']]	01 1,300	1		Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND		02 470		235	Design issue fee		
Fee from Extra Claims below Fee Pa			2503	315	Plant issue fee		
Total Claims $75 - 20** = 55 \times 9.00 = 495.00$	<u> </u>	60 130	1460	130	Petitions to the Commissioner		
Independent 5 - 3** = 2 X 42.00 = 84.00	<u>18</u>	07 50	1807	50	Processing fee under 37 CFR § 1.17(q)		
Multiple Dependent = =	 18	06 180	1806	180	Submission of Information Disclosure Statement		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	80	21 40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1	09 750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
1201 84 2201 42 Independent claims in excess of 3	18	10 750	2810	375	For each additional invention to be examined		
1203 280 2203 140 Multiple dependent claim, if not pa	id				(37 CFR § 1.129(b)) Request for Continued Examination (RCE)		
1204 84 2204 42 ** Reissue independent claims over original patent	18		1		Request for expedited examination		
1205 18 2205 9 ** Reissue claims in excess of 20	18	02 900	1802	. 900	of a design application		
and over original patent		Other fee (specify)					

Complete (if applicable) SUBMITTED BY Registration No. (415) 836-2500 Telephone 43,360 Nan/Wu Name (Print/Type) (Attorney/Agent) July 9, 2003 Date Signature

*Reduced by Basic Filing Fee Paid

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorizati n n

\$579.00

SUBTOTAL (2)

*or number previously paid, if greater; For Reissues, see above

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/35 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Typed or printed name

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Steven M. Watkins		
Title	N vel Ways	of Assessing Metabolic Processes		
Atty Do	ocket Number	2501331-991111		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

*The invention disclosed in the attached application is the subject of PCT Application No. PCT/US03/07150, filed on March 7, 2003. However, concurrently herewith applicant is filing with the International Bureau a Notice to Withdraw the PCT application for the purpose of preventing publication of that application. A copy of this Notice to Withdraw is attached hereto.

Date

Signature

Nan Wu (Reg. No. 43,360)

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, u.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

4 46 -					
CERTIFICATE OF	MAIL" (37 CFR 1.10)	Docket No.			
Applicant(s): Steven M.		2501331-991111			
Serial No.	Filing Date	Examiner	Group Art Unit		
10/383,671	March 7, 2003	Unknown	1743		
Invention: NOVEL WAYS OF ASSESSING METABOLIC PROCESSES					
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1 h a a h	fallaning company and an ac-				
nereby certify that the	following correspondence:				
Complete copy of paren	nt Application No. 10/383,671, file	d March 7, 2003.			
to to atomorphic or a target or talls		f correspondence)	lancanii namiina undan 27		
		e "Express Mail Post Office to Add			
CFR 1.10 In an envelop	de addressed to. Commissioner	for Patents, P.O. Box 1450, Alexai	iulia, VA 22313-1450 011		
	July 9, 2003 (Date)				
		Ta-Tanisha L. Moo	nra :		
		(Typed or Printed Name of Person Mailin			
		Te. Maae			
,		(Signature of Person Mailing Corr	espondence)		
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CERTIFICATE OF 'Applicant(s):	TRANSMISSION BY FAC	SIMILE (37 CFR 1.8)	Docket No. 2501331-991110		
Serial No. 10/383,671	Filing Date March 7, 2003	Examiner Unknown	Group Art Unit		
Invention: NOVEL WAYS OF ASSESSING METABOLIC PROCESSES					
•					
I hereby certify that this Petition for Express Abandonment to Avoid Publication under 37 CFR 1.138(c) (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (703) 305-8568					
on July 9, 20 (Date)			 		
Ta-Tanisha L. Moore (Typed or Printed Name of Person Signing Certificate)					
A. Musse (Signature)					
Note: Each paper must have its own certificate of mailing.					
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